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(71) Applicant (for all designated States except US): AWARE, INC.  
[US/US]; 40 Middlesex Turnpike, Bedford, MA 01730 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): GRESZCZUK, John, A.  
[US/US]; 18 Lowell Drive, Stow, MA 01775 (US). GROSS,  
Richard, W. [US/US]; 21 Millett Street, Arlington, MA  
02174 (US). PADIR, Halil [TR/US]; 85 Carlton Lane,  
N. Andover, MA 01845 (US). TZANNES, Michael, A.  
[US/US]; 17 Carley Road, Lexington, MA 02173 (US).(74) Agents: RODRIGUEZ, Michael A. et al.; Testa, Hurwitz &  
Thibault, LLP, High Street Tower, 125 High Street, Boston,  
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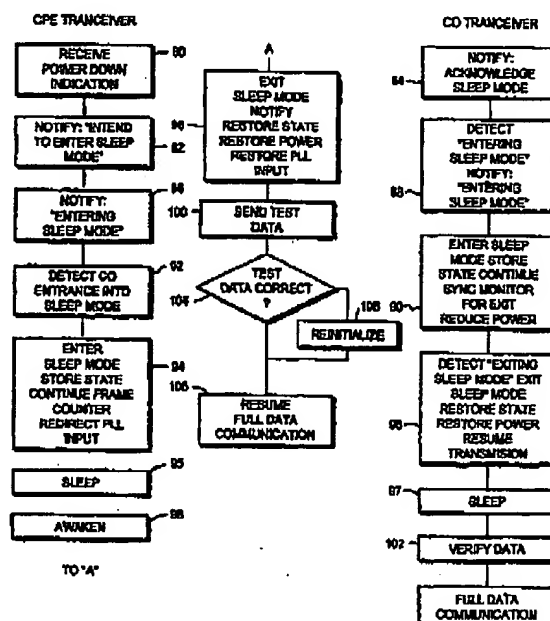
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## (57) Abstract

A multicarrier transceiver is provided with a sleep mode in which it idles with reduced power consumption when it is not needed to transmit or receive data. The full transmission and reception capabilities of the transceiver are quickly restored when needed, without requiring the full (and time-consuming) initialization commonly needed to restore such transceivers to operation after inactivity.



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WO 00/45559

PCT/US99/01539

- 1 -

## MULTICARRIER TRANSMISSION SYSTEM WITH LOW POWER SLEEP MODE AND RAPID-ON CAPABILITY

### BACKGROUND OF THE INVENTION

The invention relates to multicarrier transmission systems, and comprises method  
5 and apparatus for establishing a power management sleep state in a multicarrier system.

### SUMMARY OF THE INVENTION

Multicarrier transmission systems provide high speed data links between communication points. Such systems have recently been introduced for communications over the local subscriber loop that connects a telephone service subscriber to a central  
10 telephone office; in this important application they are commonly referred to as "xDSL" systems, where the "x" specifies a particular variant of DSL (digital subscriber loop) communications, e.g., ADSL (asynchronous digital subscriber loop), HDSL (High-Speed Digital Subscriber Loop), etc. These will be referred to generically herein simply as "DSL" systems. In such systems, a pair of transceivers communicate with other by  
15 dividing the overall bandwidth of the channel interconnecting the subscriber and the central office into a large number of separate subchannels, each of limited bandwidth, operating in parallel with each other. For example, one common system divides the subscriber line channel into two hundred and fifty six subchannels, each of four kilohertz bandwidth. A first group of these (e.g., one hundred ninety six) is allocated to communication  
20 from the central office to the subscriber (this is known as the "downstream" direction); a second group (e.g., fifty-five) is allocated to communications from the subscriber to the central office (this is known as the "upstream" direction). The remaining subchannels are allocated to administrative, control and overhead functions.

Data to be communicated over the link is divided into groups of bits, one group  
25 for each subchannel. The group of bits allocated to a given subchannel is modulated onto a carrier whose frequency is specific to that channel. Typically, quadrature ampli-

SUBSTITUTE SHEET (RULE 26)

WO 00/45559

PCT/US99/01539

- 2 -

3 tude modulation (QAM) is used for this purpose, and the group of bits is mapped into a vector defined by one of the points of a "constellation" which specifies the allowable data points for transmission over that subchannel at a particular time. Each vector or data point thus comprises a unique symbol representing a specific bit configuration for transmission as a group over its associated subchannel. During the time period allocated for transmission of a symbol (commonly referred to as a "symbol period" or "frame"), each subchannel transmits its symbol in parallel with all other subchannels so that large amounts of data can be transmitted during each frame.

10 The number of bits carried by a symbol is dependent on the characteristics of the subchannel over which it is to be transmitted. This may vary from one subchannel to another. The principal determinant is the signal-to-noise ratio of the subchannel. Accordingly, this parameter is measured from time to time in order to ascertain its value for each subchannel, and thus determine the number of bits to be transmitted on the particular subchannel at a given time.

15 The telephone channel is subject to a number of impairments which must be compensated for in order to ensure reliable transmission. Phase (delay) distortion of the transmitted signal is typically the most limiting of these impairments. This distortion is frequency-dependent, and thus components of a signal at different frequencies are shifted by varying amounts, thereby distorting the signal and increasing the likelihood of erroneous detection unless provision is made to combat it. To this end, frequency (FDQ) and time delay (TDQ) equalizers are commonly incorporated into the transmission channel in order to equalize the phase (time) delay across the channel frequency band.

25 Other impairments also exist. For example, frequency-dependent signal attenuation adversely affects signal transmission on the telephone line. This is compensated by the use of gain equalizers on the line. Echo on the line is handled by the use of echo cancellers, and phase and frequency offsets which may arise, for example, from the use of frequency-division-multiplexing in the telephone system must also be corrected for.

SUBSTITUTE SHEET (RULE 26)

WO 00/45559

PCT/US99/01539

- 3 -

The problem of signal impairment is especially serious in those xDSL configurations which carry the DSL communications on a common line with ordinary voice communications but which omit the use of a "splitter" at either the subscriber premises or the central office or both. A "splitter" is basically a filter which separates the low-frequency voice communications (e.g., from zero to four kilohertz) from the higher-frequency data communications (which may extend up into the megahertz band) and provides a strong degree of isolation between the two. In the absence of a splitter, unique provisions must be made to accommodate voice and data communications on the same line. For a more detailed description of the problem and its solution, see the co-pending application of Richard Gross et al. entitled "Splitterless Multicarrier Modem", Serial No. \*\*\*, filed \*\*\*, and assigned to the assignee of the present invention.

Because of their extensive use in Internet communications as well as in other applications, DSL transceivers are commonly maintained in the "on" state, ready to transmit or receive once they have been installed and initialized. Thus, such modems consume a significant amount of power, even when they are not actively transmitting or receiving data. It is generally desirable to limit this power consumption, both for environmental reasons as well as to prolong the life of the equipment. Further, such modems may be implemented or incorporated in part or in whole in computer equipment such as in personal computers for home and business use, and such computers increasingly incorporate power conservation procedures. See, for example, U.S. Patent No. 5,428,790, "Computer Power Management System", issued June 27, 1995 on the application of L. D. Harper. Thus, it is desirable to provide an ADSL modem which can accommodate power conservation procedures.

Because of the complexity of DSL transceivers, and the conditions under which they must operate, it is necessary to initialize them prior to the transmission and reception of data. This initialization includes, *inter alia*, channel corrections such as "training" the frequency- and time-domain equalizers and the echo cancellers; setting the channel gains; adjusting for phase and frequency offsets; and the like. Additionally, it includes measuring the signal-to-noise ratio of each of the subchannels, calculating the bit-allocation tables characteristic of each under given conditions of transmission, and

SUBSTITUTE SHEET (RULE 26)

WO 00/45559

PCT/US99/01539

- 4 -

exchanging these tables with other modems with a given modem communicates. For more detailed discussion of these procedures, refer to the application of Richard Gross et al., cited above and incorporated herein by reference. These procedures can require from tens to hundreds of seconds. In a new installation, the time required is inconsequential. However, in an already-operating installation, the time required to initialize or re-initialize the system after a suspension of operation in connection with power conservation is generally unacceptable, since it is typically desired to have the modem respond to request for service nearly instantaneously.

Accordingly, it is an object of the invention to provide a multicarrier transmission system having a low power sleep mode and a rapid-on capability.

Further, it is an object of the invention to provide a multicarrier transmission system for use in digital subscriber line communications that can rapidly switch from a sleep mode to a full-on condition.

Still another object of the invention is to provide a DSL system that can readily be integrated into a computer having a low power sleep mode and which is capable of rapid return to full operation.

### BRIEF DESCRIPTION OF THE DRAWINGS

The invention description below refers to the accompanying drawings, of which: Fig. 1 is a block and line diagram of a multicarrier transmission system in accordance with the present invention; and

Fig. 2 is a flow diagram of the operation of the present invention.

### DETAILED DESCRIPTION OF AN ILLUSTRATIVE EMBODIMENT

In Figure 1, a DSL transceiver 10 in accordance with the present invention has a transmitter section 12 for transmitting data over a digital subscriber line 14 and a receiver section 16 for receiving data from the line. The transmitter section 12 is formed from an input buffer and converter 18 that receives a serial string of data (e.g., binary

SUBSTITUTE SHEET (RULE 26)

WO 00/45559

PCT/US99/01539

- 5 -

digits) to be transmitted and converts the data into a plurality of pairs of complex-valued symbols  $X_i$  and their conjugates  $X_{N-i} = X_i^*$ ,  $i = 0, 1, \dots, N$ . These pairs of symbols are applied to an Inverse Fast Fourier Transform (IFFT) 20 to provide real time output signals  $x_j$ ,  $j = 0, 1, \dots, N/2 - 1$ . The latter in turn are converted to serial form in a parallel-to-serial converter 22 and then applied to a digital-to-analog converter 24 for application to a line driver 26. The converter may apply a cyclic prefix to the signals  $x_j$  to combat intersymbol interference caused by the transmission medium. The driver 26 may incorporate a gain control section 26a for controlling the signal amplitude (and thus power) as it is applied to a communication channel such as a digital subscriber line 24.

IFFT 20 may be viewed as a data modulator. The symbols  $X_i$  and their conjugates  $X_{N-i}$ , correspond to data points defining signal vectors in a quadrature amplitude modulation (QAM) constellation set. The converter 18 forms the respective symbols from the input data with the aid of a bit allocation table (BAT) 28 which specifies, for each subchannel, the number of bits to be carried by the symbol transmitted over that subchannel, and thus defines the data point to be associated with the symbol. This table is typically calculated at the transceiver and transmitted to other transceivers with which the instant transceiver communicates, to thereby enable them to decode the symbols received by them from the instant transceiver.

The number of bits which each symbol carries is determined by the characteristics of the subchannel over which the symbol is to be transmitted, and particularly by the signal-to-noise ratio of the subchannel. Procedure for this calculation are known. Figure 1A shows an example of such a table as formed and stored at transceiver 10. Thus, the symbol to be transmitted over subchannel 50 may be determined to have an allocation of six bits; that of subchannel 51, six bits; that of subchannel 52, seven bits, etc.

A Clock 30 controls the timing of the operation of the transmitter 12. It supplies input to a Controller 32 which controls the individual units of the transmitter. In the case of a transceiver located at a central telephone office, the clock 30 typically is a master clock to which a remote transceiver, such as at a subscriber premises, will be synchronized. In the case of a transceiver at the subscriber premises, such as is shown here for purposes of illustration, the clock is derived from the master clock at the central

SUBSTITUTE SHEET (RULE 26)

WO 00/45559

PCT/US99/01539

- 6 -

office as described more fully below in connection with the receiver portion of the transceiver. A Frame Counter (FC) 36 connected to the controller 32 maintains a count of the number of frames of data transmitted or received by the transceiver. Finally, a State Memory (SM) connected to the controller 34 records the state of the transceiver for reasons discussed more fully below.

Turning now to the receiver section 16, it is formed from a line conditioner 50; an analog-to-digital converter (ADC) 52; a serial-to-parallel converter 54; a Fast Fourier Transform (FFT) section 56; a detector 58; and a parallel-to-serial converter 60. The conditioner 50 compensates for transmission distortions introduced by the line 14, and commonly includes a frequency-domain equalizer (FDQ) 50a; a time-domain equalizer (TDQ) 50b; and an echo canceller (EC) 50c, among other elements. The ADC 52 converts the received signal to digital form and applies it to the serial-to-parallel converter 54. The converter 54 removes any cyclic prefix that may have been appended to the signal before it was transmitted, and applies the resultant signal to the FFT 56 which effectively "demodulates" the received signal. The output of the FFT is applied to decoder 58 which, in conjunction with a bit-allocation-table 62, recovers the symbols  $X_i$  and the bits associated with them. The output of detector 58 is applied to the parallel-to-serial converter 60 which restores the data stream that was originally applied to the transmitter.

A phase-lock loop (PLL) 62 receives from the line conditioner 50 a timing reference signal transmitted from the transmitter with which it communicates (e.g., the CO transceiver). The PLL 62 locks itself to this signal and drives a clock 64 in synchronism with the Master Clock in the driving transmitter. Control of the receiver section is provided by the controller 32.

As noted earlier, the transceiver of the present invention will commonly be incorporated in a computer such as a personal computer; indeed, it may be implemented as an integral part of such a computer, which may have a power conservation capability for activation when the computer is not in active operation. It is thus desirable that the transceiver be able to suspend operations and enter a "sleep" mode in which it consumes reduced power when it is not needed for data transmission or reception, but nonetheless

SUBSTITUTE SHEET (RULE 26)



WO 00/45359

PCT/US99/01539

- 7 -

be able to resume transmission or reception almost instantaneously, e.g., with less than a one-second delay. This is accomplished in the present invention as follows.

For purposes of illustration, the operation of the invention will be explained in terms of a transceiver located at a customer premises (herein termed the "CPE transceiver") communicating data over the customer's data subscriber line to a transceiver located at the central telephone office (herein referred to as the "CO transceiver"). Particular reference will be made to Figures 2 and 3 in connection with this discussion. The power down operation of the CPE transceiver begins on receipt of a power down command (step 80) by the CPE transceiver controller 32. The power down command may be applied to the controller 32 from an external source such as a personal computer in which the transceiver is included; it may be generated within the transceiver itself as a result of monitoring the input buffer 18 and determining that no data has been applied to it for a given time interval; or it may be responsive to a power down command from the CO transceiver.

Considering for the moment the first two cases, the CPE transceiver responds to the command by transmitting to the CO transceiver an "Entering Sleep Mode" signal (step 82). The CO transceiver responds by transmitting an "Acknowledge Sleep Mode" signal (step 84) to the CPE transceiver. Additionally, the CO transceiver transmits to the CPE transceiver a pilot tone (step 86) which enables the CPE transceiver to maintain synchronization with the CO transceiver during sleep mode. Specifically, as shown in Figure 1, the pilot tone is applied from the line conditioner 50 to the PLL 62 which drives the Local Clock 64 in synchrony with the Master Clock 30 at the CO transceiver. Clock 64 in turn drives the Frame Counter 66 in synchrony with the frame counter 36 at the CO transceiver.

The CO transceiver also stores its state (step 88) in receiver section state memory 38. The state preferably includes at least the frequency and time-domain equalizer coefficients (FDQ, TDQ) and the echo-canceller coefficients (ECC) of its receiver and the gain of its transmitter. The CO transceiver will also maintain the frame count and superframe count (step 90) to ensure synchrony with the remote CPE transceiver. It may, at this time, perform its own power reduction (step 92). In particular, it may re-

SUBSTITUTE SHEET (RULE 26)

WO 00/43559

PCT/US99/01539

- 8 -

duce or cut off power to the digital modulator/demodulator portions of its transmitter and receiver sections; this provides a significant power reduction. Power will be maintained, of course, to at least that portion of the analog driver circuitry which transmits the pilot tone and other control signals to the CPE transceiver.

5 In response to the acknowledgment from the CO transceiver, the CPE transceiver enters the sleep mode (step 94) in which reduced or no power is applied to various components of the transceiver. In particular, it stores its state (step 96) in state memory 38, including preferably at least the frequency and time-domain equalizer coefficients (FDQ, TDQ) and the echo-canceller coefficients (ECC) of its receiver section,  
10 the gain of its transmitter section, and phase and frequency offset of its phase-locked loop 62. It then powers down (step 98) its transmitter section, including both the digital modulator/demodulator circuitry and the analog line drivers.

During the sleep mode state, the CO transceiver continues to monitor (step 100) the data subscriber line for an "Awakening" signal from the CPE transceiver (step 104).  
15 The CPE transceiver transmits this signal when its controller receives an "Awaken" command (step 102) from an external source such as a computer in which it is installed or from other sources, or when its controller detects the presence of data in the input buffer 18. The CPE transceiver thereupon restores full power to its circuitry (step 106). It also retrieves its stored state from the state memory 38 (step 108). It can then immediately begin transmitting, since it need not repeat the initialization that was earlier required to establish requisite parameters (equalizer coefficients, echo canceller coefficients, gain, phase-lock-loop phase and frequency offsets, etc.). Prior to this time, of course, and in response to the "Awakening" signal from the CPE transceiver, the CO transceiver has restored its power (step 110), restored its state (step 112), and is ready  
20 to receive (step 114).  
25

On resuming communication, it may be desirable to transmit several frames of test (known) data (step 116) before resuming transmission of user data. This enables the system to verify that system conditions have not changed so significantly as to require renewed initialization. If the test is satisfactory (step 118), user data transmission

SUBSTITUTE SHEET (RULE 26)

WO 00/45559

PCT/US99/01539

- 9 -

then occurs (step 120). Otherwise, reinitialization must be performed (step 122) before user data transmission occurs.

As noted earlier, the transceiver 10 may alternatively be awakened by the CO transceiver. This is preferably accomplished by means of a tone 120 (Figure 3) transmitted from the latter to the CPE receiver. In response to that tone, the CPE receiver performs the sequence of steps shown at 100-110 in Figure 2.

SUBSTITUTE SHEET (RULE 26)

WO 00/45559

PCT/US99/01539

- 10 -

**CLAIMS**

- 1 1. A multicarrier transceiver having a sleep mode capability , comprising  
2 A. means responsive to a sleep mode command for:  
3 (1) storing selected state parameters characteristic of the communica-  
4 tions channel over which the transceiver is operating; and  
5 (2) reducing power to selected portions of transceiver circuitry; and  
6 B. means responsive to a wake-up command for:  
7 (1) restoring the state of said transceiver from said sleep mode; and  
8 (2) restoring power to said transceiver;  
9 to thereby facilitate restoration of communications without reinitialization of said trans-  
10 ceiver.
- 1 2. A multicarrier transceiver according to claim 1 in which said state parameters  
2 include one or more parameters selected from the group comprising frequency-domain  
3 equalizer coefficients, time-domain equalizer coefficients, echo canceller coefficients,  
4 phase-lock loop frequency offsets, phase-lock loop phase offsets, and channel gains.
- 1 3. A multicarrier transceiver according to claim 1 which includes means for receiv-  
2 ing from another transceiver a signal defining a timing reference during at least the time  
3 when said first transceiver is in sleep mode.
- 1 4. A multicarrier transceiver according to claim 1 in which said signal comprises a  
2 pilot tone.

**SUBSTITUTE SHEET (RULE 26)**

WO 00/45559

PCT/US99/01539

11

**AMENDED CLAIMS**

[ received by the International Bureau on 28 December 1999 (28.12.99);  
original claims 1-4 replaced by new claims 1-17 (4 pages)]

- 1 1. A multicarrier transceiver having a sleep mode capability, comprising  
2 A. means responsive to a sleep mode command for:  
3 (1) storing selected state parameters characteristic of the communi-  
4 cations channel over which the transceiver is operating; and  
5 (2) reducing power to selected portions of transceiver circuitry;  
6 and  
7 B. means responsive to a wake-up command for:  
8 (1) restoring power to said transceiver;  
9 (2) restoring the state of said transceiver from said sleep mode by  
10 means of said stored parameters; and  
11 C. means for maintaining a common, synchronized data frame count be-  
12 tween said transceiver and a remote transceiver with which it communicates, to thereby  
13 facilitate restoration of communication without reinitialization of said transceiver.
- 1 2. A multicarrier transceiver according to claim 1 in which said state parameters in-  
2 clude one or more parameters selected from the group comprising frequency-domain  
3 equalizer coefficients, time-domain equalizer coefficients, echo canceller coefficients, bit  
4 allocations, coding parameters, fine gains, and subchannel gains.
- 1 3. A multicarrier transceiver according to claim 1 in which the means for maintain-  
2 ing said frame count comprises a signal defining a timing reference during at least the  
3 time when said first transceiver is in sleep mode.
- 1 4. A multicarrier transceiver according to claim 3 in which said signal comprises a  
2 pilot tone transmitted from one transceiver to another with which it is in communication.

**AMENDED SHEET (ARTICLE 19)**

WO 00/45559

PCT/US99/01539

12

- 1 5. A multicarrier transceiver according to claim 4 in which said pilot tone is trans-  
2 mitted between said transceivers during both normal operation and reduced power opera-  
3 tion.
- 1 6. A multicarrier transceiver according to claim 1 in which maintaining synchroni-  
2 zation includes maintaining synchronization of frame counters between said transceivers.
- 1 7. A multicarrier transceiver according to claim 6 in which maintaining synchroni-  
2 zation further includes maintaining synchronization of the phase of a synchronizing sig-  
3 nal transmitted between said transceivers.
- 1 8. A multicarrier transceiver according to claim 1 including means to transmit an  
2 idle symbol to said remote transceiver when said transceiver is in sleep mode.
- 1 9. A multicarrier transceiver according to claim 1 including means to transmit to  
2 said remote transceiver a short test signal on awaking from sleep mode in order to deter-  
3 mine whether transmission conditions have changed sufficiently during sleep mode to  
4 require reinitialization of said transceiver on emerging from sleep mode.
- 1 10. A multicarrier transceiver including  
2 A. mean for storing the state of said transceiver responsive to a state change indicator;  
3 B. means for restoring said state from the stored state parameters to thereby obvi-  
4 ate reinitialization of said transceiver on return from sleep mode;  
5 C. means detecting the absence of valid frame information for generating said  
6 state change indicator.
- 1 11. A multicarrier transceiver including  
2 A. means for storing the state of transceiver responsive to an interruption of valid  
3 data transmission;  
4 B. means for entering a sleep mode during said interruption;

AMENDED SHEET (ARTICLE 19)

WO 00/45559

13

PCT/US99/01539

5 C. means for maintaining communication of a synchronizing signal between said  
6 transceiver and another transceiver during sleep mode.; and

7 D. means for restoring said state from the stored state parameters to thereby ob-  
8 viate reinitialization of said transceiver on return from sleep mode.

1 12. A multicarrier transceiver according to claim 11 in which communication is  
2 maintained by transmitting a synchronizing signal to said other transceiver.

1 13. A multicarrier transceiver according to claim 11 in which communication is  
2 maintained by receiving by receiving a synchronizing signal from said other transceiver.

1 14. A multiracial transceiver according to claim 11 in which said state includes on or  
2 more parameters selected from the set comprising frequency-domain equalizer coeffi-  
3 cients, time-domain equalizer coefficients, echo cancelled coefficients, bit allocations,  
4 coding parameter, fine gains and subchannel gains.

1 15. A method of providing a sleep mode in a multicarrier transceiver characterized by  
2 a plurality of state parameters characteristic of the communications channel over which  
3 the transceiver is operating and requiring initialization of said parameters for normal  
4 communications, comprising the steps of:

5 A. storing selected ones of said state parameters in response to a sleep mode  
6 command;

7 B. reducing power to selected portions of the circuitry following said storing;

8 C. restoring power to said selected portions in response to an awaken signal; and

9 D. restoring the state of said transceiver from said stored parameters in response  
10 to said wakeup signal to thereby obviate reinitialization of said transceiver.

1 16. A method according to claim 15 in which said selected state parameters comprise  
2 one or more parameters selected from the group comprising frequency-domain equalizer

AMENDED SHEET (ARTICLE 19)

WO 00/45559

PCT/US99/01539

14

- 3 coefficients, time-domain equalizer coefficients, echo canceller coefficients, bit alloca-  
4 tions, coding parameters, fine gains, and subchannel gains.
- 1 17. A method according to claim 15 or 16 including the step of maintaining synchro-  
2 nization in said transceiver with a synchronization signal transmitted to said said trans-  
3 ceiver during the time that said transceiver is in sleep mode.

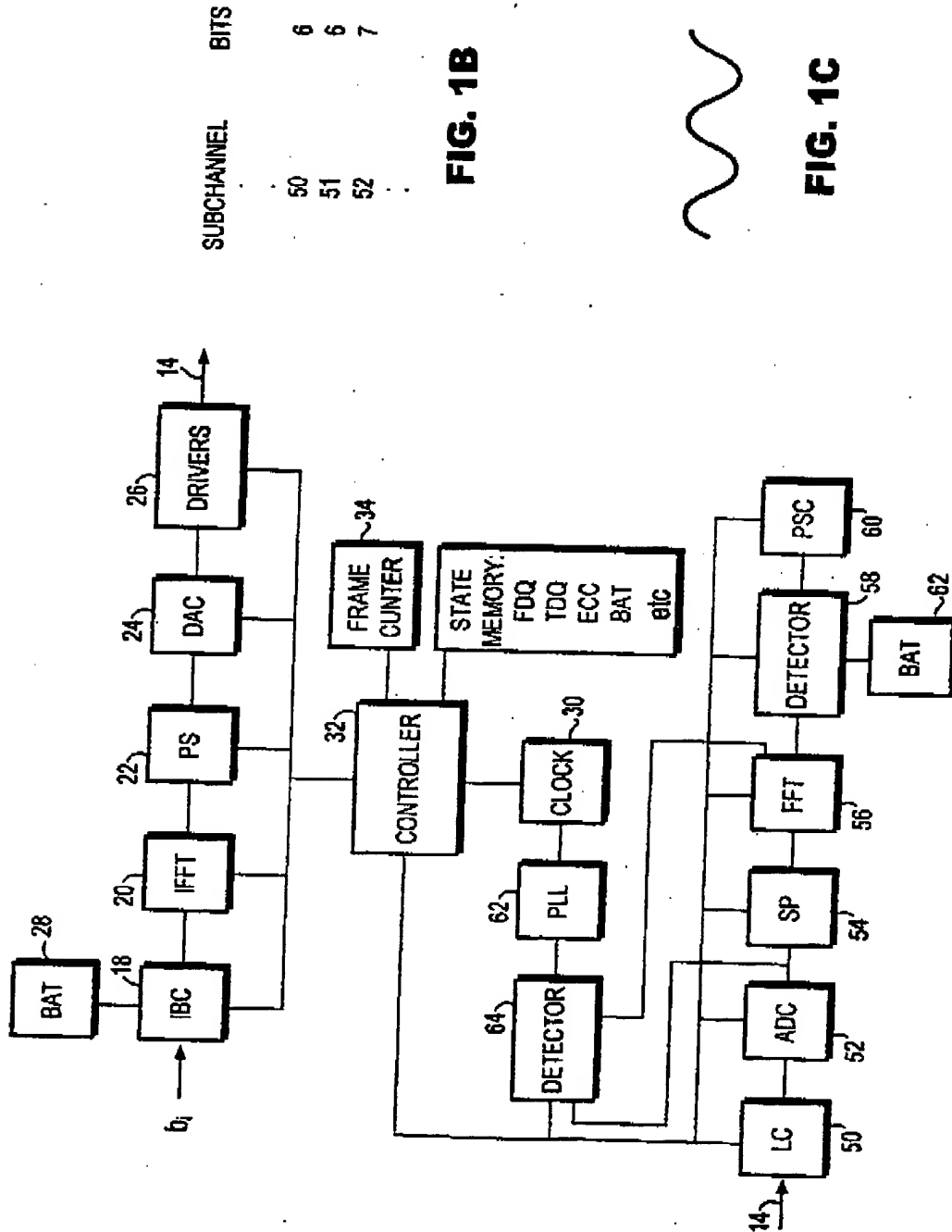
AMENDED SHEET (ARTICLE 19)



WO 00/45559

PCT/US99/01539

1/3



**FIG. 1A**

**FIG. 1B**

**FIG. 1C**

SUBSTITUTE SHEET (RULE 26)

WO 00/45559

PCT/US99/01539

2/3

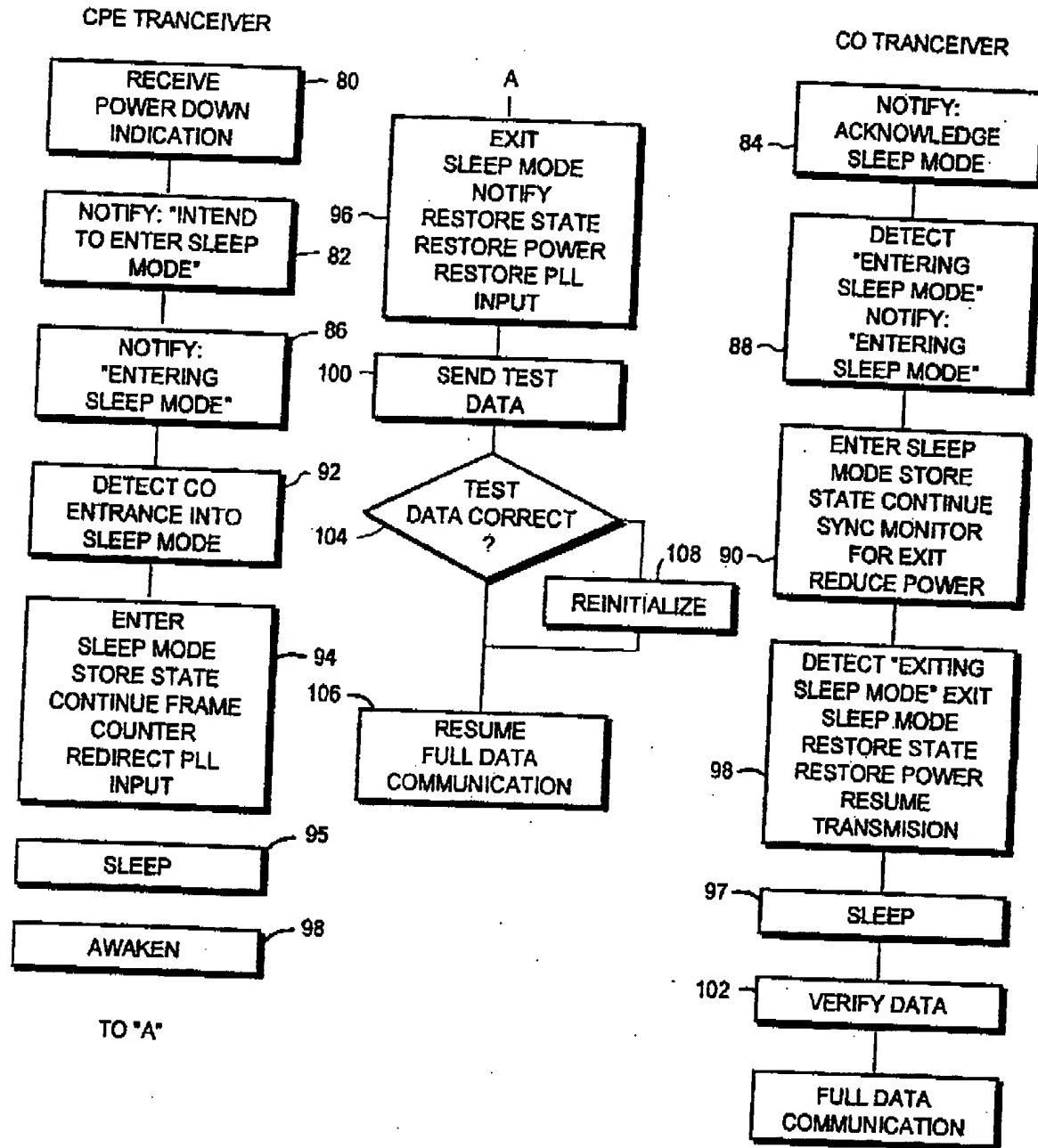


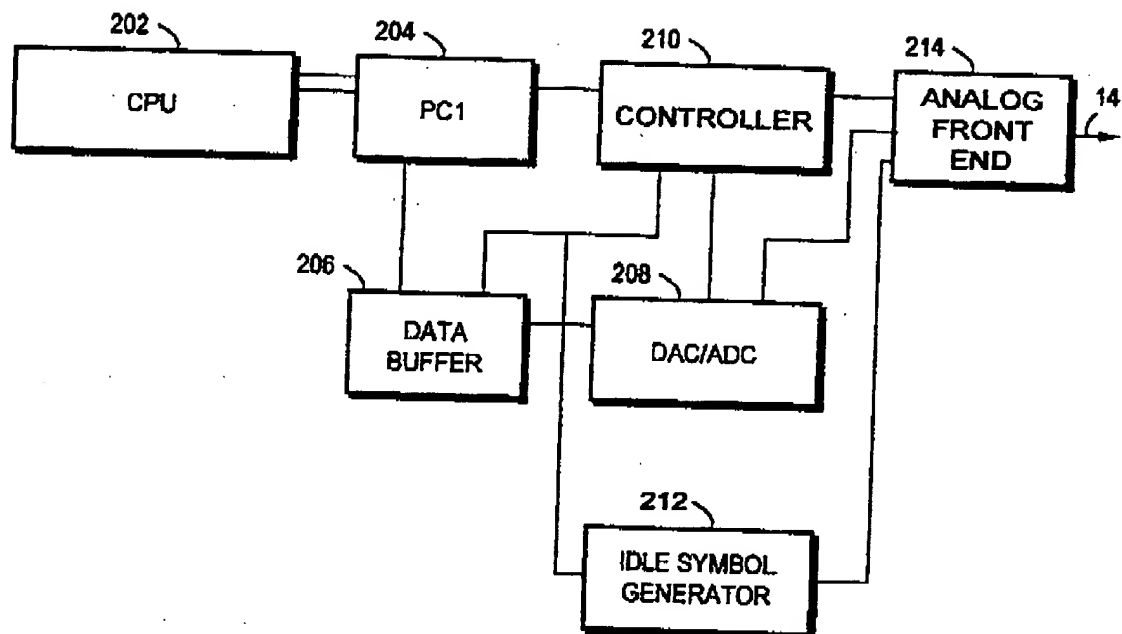
FIG. 2

SUBSTITUTE SHEET (RULE 26)

WO 00/45559

PCT/US99/01539

3/3

**FIG. 3**

SUBSTITUTE SHEET (RULE 26)

## INTERNATIONAL SEARCH REPORT

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 7 H04L27/26		International Application No PLT/US 99/01539
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 7 H04L H04M H04B G06F		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 473 465 A (AUDIOVOX CORP) 4 March 1992 (1992-03-04) column 5, line 12 -column 7, line 52; figures 5,6	1,3-13
A	US 5 452 288 A (RAHUEL JEAN-CLAUDE ET AL) 19 September 1995 (1995-09-19) column 5, line 6 -column 5, line 24 column 11, line 45 - line 60; figure 2 column 16, line 18 -column 17, line 62; figures 5,6	1,10,11
A	EP 0 840 474 A (MOTOROLA INC) 6 May 1998 (1998-05-06)	1,10,11
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<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex.		
* Special categories of cited documents:		
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claims or which is cited to establish the publication date of another citation or other special reason (see specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed		
"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "A" document member of the same patent family		
Date of the actual completion of the international search  18 October 1999		Date of mailing of the international search report  29/10/1999
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2200 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl Fax (+31-70) 340-3016		Authorized officer  Burghardt, G

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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		International Application No. PCT/US 99/01539
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A	MACQ D ET AL.: "A CMOS activity detector for ADSL link " ESSCIRC '95. TWENTY-FIRST EUROPEAN SOLID-STATE CIRCUITS CONFERENCE. 19 - 21 September 1995, page 430-433 XP002119172 Lille, France page 430	1,10,11

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